

| | | |
|--|---|---|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 67987.000002 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/578493 |
| INTERNATIONAL APPLICATION NO. PCT/IB2004/003897 | INTERNATIONAL FILING DATE November 5, 2004 | PRIORITY DATE CLAIMED November 6, 2003 |
| TITLE OF INVENTION BACE455, AN ALTERNATIVE SPLICE VARIANT OF THE HUMAN BETA-SECRETASE | | |
| APPLICANT(S) FOR DO/EO/US Laurent DESIRE | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A Preliminary Amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 16. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. <input checked="" type="checkbox"/> Other items or information: | | |
| Copy of WO 2005/045021 A1; Copy of Application (including Specification (pages 1-52); 24 Claims (pages 53-55); Abstract (page 56); Sequence Listing (11 pages); Figures 1-5 (5 pages)); Submission of Sequence Listing; Notification Concerning Submission or Transmittal of Priority Document (PCT/IB/304); Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority (PCT/ISA/220); International Search Report of PCT/IB2004/003897 (PCT/ISA/210); Written Opinion of the International Searching Authority (PCT/ISA/237) and PTO/SB/08B with 8 references. | | |

| | | | | | |
|---|--|---|------------|--------------------------|---------|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7) | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | |
| TBA 10/578493 | | PCT/IB2004/003897 | | 69787.000002 | |
| The following fees have been submitted: | | | | CALCULATIONS | PTO USE |
| 19. | <input checked="" type="checkbox"/> Basic National Fee (37 CFR 1.492(a)) | \$ 300.00 | | \$ 300.00 | |
| 20. | <input checked="" type="checkbox"/> Examination Fee (37 CFR 1.492(c)) | | | | |
| If the written opinion prepared by ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 | | | | \$ 200.00 | |
| All other situations \$ 200.00 | | | | | |
| 21. | <input checked="" type="checkbox"/> Search Fee (37 CFR 1.492(b)) | | | | |
| If the written opinion of the ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 | | | | | |
| Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00 | | | | \$ 400.00 | |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$ 400.00 | | | | | |
| All other situations \$ 500.00 | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ 900.00 | | \$ 900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250.00 for each additional 50 sheets of paper or fraction | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | |
| - 100 | /50 | | | x \$250.00 | \$ |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)) | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 24 - 20 = | 4 | x \$ 50.00 | \$ | |
| Independent claims | 16 - 3 = | 13 | x \$200.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees above are reduced by 1/2. | | | | \$ | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 900.00 | |

| | | | |
|---|--|-------------------------------|--------------------------|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7) | | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER |
| TBA 10/578493 | | PCT/IB2004/003897 | 69787.000002 |

a. ☒ A check in the amount of \$900.00 to cover the above fees is enclosed.

b. ☐ Please charge Deposit Account No. 50-0206 in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

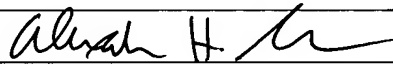
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0206. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NO. 21967
Intellectual Property Department
Hunton & Williams LLP
1900 K Street, N.W.; Suite 1200
Washington, DC 20006-1109
(202) 955-1500 (telephone)
(202) 778-2201 (facsimile)



SIGNATURE
Robert M. Schulman; Alexander H. Spiegler
NAME
31,196; 56,625
REGISTRATION NUMBER
May 5, 2006
DATE